

EAST Search History

| | | | | | |
|-----|----|---|------------------------------|----|-----|
| L24 | 50 | (US-20040230512-\$ or US-20050283417-\$ or US-20040073507-\$ or US-20060206404-\$ or US-20030004853-\$ or US-20050021447-\$ or US-20020046067-\$ or US-20030225628-\$ or US-20070130053-\$ or US-20050160032-\$ or US-20040215467-\$ or US-20060041501-\$ or US-20060282367-\$ or US-20070005481-\$ or US-20070118463-\$ or US-20020042770-\$ or US-20040133503-\$ or US-20040039685-\$ or US-20020156719-\$ or US-20050197857-\$ or US-20070083457-\$ or US-20030167224-\$ or US-20060085321-\$ or US-20070118465-\$ or US-20020169711-\$ or US-20070112693-\$).did. or (US-20010032162-\$ or US-20020128952-\$ or US-20020198774-\$ or US-20050240506-\$ or US-20040254804-\$ or US-20070118464-\$ or US-20060149669-\$).did. or (US-6112185-\$ or US-6012046-\$ or US-6963856-\$ or US-7136833-\$ or US-6778968-\$ or US-7107240-\$ or US-7162447-\$ or US-6098051-\$ or US-6505175-\$ or US-5905974-\$ or US-5845266-\$ or US-6629082-\$ or US-7024376-\$ or US-4412287-\$ or US-5689652-\$ or US-5950177-\$ or US-6272474-\$).did. | US-PGPUB; USPAT | OR | OFF |
| L25 | | (US-20020133445-\$).did. or (US-6629082-\$).did. | US-PGPUB; USPAT | OR | OFF |
| L26 | 50 | (US-20040230512-\$ or US-20050283417-\$ or US-20040073507-\$ or US-20060206404-\$ or US-20030004853-\$ or US-20050021447-\$ or US-20020046067-\$ or US-20030225628-\$ or US-20070130053-\$ or US-20050160032-\$ or US-20040215467-\$ or US-20060041501-\$ or US-20060282367-\$ or US-20070005481-\$ or US-20070118463-\$ or US-20020042770-\$ or US-20040133503-\$ or US-20040039685-\$ or US-20020156719-\$ or US-20050197857-\$ or US-20070083457-\$ or US-20030167224-\$ or US-20060085321-\$ or US-20070118465-\$ or US-20020169711-\$ or US-20070112693-\$).did. or (US-20010032162-\$ or US-20020128952-\$ or US-20020198774-\$ or US-20050240506-\$ or US-20040254804-\$ or US-20070118464-\$ or US-20060149669-\$).did. or (US-6112185-\$ or US-6012046-\$ or US-6963856-\$ or US-7136833-\$ or US-6778968-\$ or US-7107240-\$ or US-7162447-\$ or US-6098051-\$ or US-6505175-\$ or US-5905974-\$ or US-5845266-\$ or US-6629082-\$ or US-7024376-\$ or US-4412287-\$ or US-5689652-\$ or US-5950177-\$ or US-6272474-\$).did. | US-PGPUB; USPAT | OR | OFF |
| L27 | 8 | ("20010034692").PN. OR ("6629082").URPN. | US-PGPUB; USPAT; USOCR | OR | OFF |

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EAST Search History

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals |
|-------|-------|---|---|------------------|---------|
| L1 | 138 | qualified adj (investor purchaser buyer participant) | US-PGPUB; USPAT | OR | OFF |
| L2 | 1 | mc credmond and abokhair | US-PGPUB; USPAT | OR | OFF |
| L3 | 1342 | ipo (initial adj public adj offering) | US-PGPUB; USPAT | OR | OFF |
| L4 | 104 | I3 and (qualified accredited) | US-PGPUB; USPAT | OR | OFF |
| L5 | 25830 | (float\$ issu\$ sell\$ distribut\$) near3 (stock issue security share equity) | US-PGPUB; USPAT | OR | OFF |
| L6 | 176 | I3 and I5 | US-PGPUB; USPAT | OR | OFF |
| L7 | 1 | broker\$ near3 (ipo (initial adj public adj offering)) | US-PGPUB; USPAT | OR | OFF |
| L8 | 33 | broker\$ same (ipo (initial adj public adj offering)) | US-PGPUB; USPAT | OR | OFF |
| L9 | 5 | broker\$ same (qualified adj (investor purchaser buyer participant)) | US-PGPUB; USPAT | OR | OFF |
| L10 | 191 | (stock equity) adj offer\$ | US-PGPUB; USPAT | OR | OFF |
| L11 | 142 | qualified adj (investor purchaser buyer participant) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | OFF |
| L12 | 8552 | ipo (initial adj public adj offering) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | OFF |
| L13 | 115 | I12 and (qualified accredited) | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT | OR | OFF |
| L14 | 5938 | (float\$ issu\$ sell\$ distribut\$) near3 (stock issue security share equity) | EPO; JPO; DERWENT | OR | OFF |
| L15 | 1 | I12 and I14 | EPO; JPO; DERWENT | OR | OFF |
| L16 | 0 | broker\$ near3 (ipo (initial adj public adj offering)) | EPO; JPO; DERWENT | OR | OFF |
| L17 | 0 | broker\$ same (ipo (initial adj public adj offering)) | EPO; JPO; DERWENT | OR | OFF |
| L18 | 0 | broker\$ same (qualified adj (investor purchaser buyer participant)) | EPO; JPO; DERWENT | OR | OFF |
| L19 | 30 | (stock equity) adj offer\$ | EPO; JPO; DERWENT | OR | OFF |
| L20 | 2963 | 705/35.ccls. | US-PGPUB; USPAT | OR | OFF |
| L21 | 0 | 705/36.ccls. | US-PGPUB; USPAT | OR | OFF |
| L22 | 1450 | 705/36r.ccls. | US-PGPUB; USPAT | OR | OFF |
| L23 | 3165 | 705/37.ccls. | US-PGPUB; USPAT | OR | OFF |

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File 347:JAPIO Oct 1976-2 2/Aug(Updated 021203)

(c) 2002 JPO & JAPIO

File 348:EUROPEAN PATENTS 1978-2002/Dec W02

(c) 2002 European Patent Office

File 349:PCT FULLTEXT 1979-2002/UB=20021212,UT=20021205

(c) 2002 WIPO/Univentio

File 350:Derwent WPIX 1963-2002/UD,UM &UP=200280

(c) 2002 Thomson Derwent

| Set | Items | Description |
|-----|-------|---|
| S1 | 0 | AU='HAMBRECHT W' |
| S2 | 9 | AU='HANSSON O' OR AU='HANSSON OTHAR' |
| S3 | 48 | AU='HAYES J' |
| S4 | 2 | AU='HAYES JORDAN M' |
| S5 | 91 | AU='KATZ A':AU='KATZ A Y' OR AU='KATZ ALAN HOWARD':AU='KATZ ALAN J' |
| S6 | 3 | AU='OCHERET':AU='OCHERET CHARLES A' |
| S7 | 55 | AU='REGAN M':AU='REGAN M T' OR AU='REGAN MATTHEW JAMES PAT-RICK' |
| S8 | 1 | (S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7) AND AUCTION? AND - (INVEST? OR IPO OR BROKER?) |

File 347:JAPIO Oct 1976-2. 2/Aug(Updated 021203)

(c) 2002 JPO & JAPIO

File 350:Derwent WPIX 1963-2002/UD,UM &UP=200280

(c) 2002 Thomson Derwent

| Set | Items | Description |
|-----|--------|--|
| S1 | 2216 | AUCTION? OR META AUCTION? OR MULTIAUCTION? OR DUTCH AUCTION? OR ((BID OR BIDD?) (5N) OFFER?) OR EBAY OR E() BAY OR REQUEST(3W-) QUOTE? OR RFQ |
| S2 | 194964 | ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE? |
| S3 | 6 | INITIAL() PUBLIC() OFFERING? OR CLOSED(1W) END() FUNDS OR (FIR- ST OR 1ST OR START?) (5N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR E- QUITY OR EQUITIES)) |
| S4 | 39063 | (RESTRICT? OR RESTRAIN OR RESTRAINING OR BARRIER? OR LIMIT? OR CONTINGENT? OR CONTROLLED OR CONDITIONAL?) (2N) (USE OR USI- NG OR USAGE OR USABILITY OR ACCESS? OR ENTRANCE OR ENTRY OR U- TILIZ?) |
| S5 | 546 | (QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S6 | 296165 | SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W) BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN) |
| S7 | 532 | (UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S8 | 0 | S1 AND S2 AND (S4 OR S5) AND S6 AND S7 |
| S9 | 0 | S1 AND S2 AND (S4 OR S5) AND S6 |
| S10 | 27 | S1 AND S2 AND (S4 OR S5) |

File 347:JAPIO Oct 1976-2 2/Aug(Updated 021203)

(c) 2002 JPO & JAPIO

File 350:Derwent WPIX 1963-2002/UD,UM &UP=200280

(c) 2002 Thomson Derwent

| Set | Items | Description |
|-----|--------|---|
| S1 | 2216 | AUCTION? OR META AUCTION? OR MULTIAUCTION? OR DUTCH AUCTION? OR ((BID OR BIDD?) (5N) OFFER?) OR EBAY OR E() BAY OR REQUEST(3W-) QUOTE? OR RFQ |
| S2 | 194964 | ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE? |
| S3 | 7 | INITIAL() PUBLIC() OFFERING? OR CLOSED(1W) END() FUNDS OR (GO - OR GOING) () PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR MU- TUAL(1W) STOCK() CONVER? OR (FIRST OR 1ST OR START?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES)) |
| S4 | 546 | (QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S5 | 296165 | SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W) BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN) |
| S6 | 532 | (UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S7 | 3 | S1 AND S3 |

File 348:EUROPEAN PATENTS 1978-2002/Dec W02

(c) 2002 European Patent Office

File 349:PCT FULLTEXT 1979-2002/UB=20021212,UT=20021205

(c) 2002 WIPO/Univentio

| Set | Items | Description |
|-----|--------|---|
| S1 | 2873 | AUCTION? OR META AUCTION? OR MULTIAUCTION? OR DUTCH AUCTION? OR ((BID OR BIDD?) (5N) OFFER?) OR EBAY OR E() BAY OR REQUEST(3W-) QUOTE? OR RFQ |
| S2 | 146621 | ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE? |
| S3 | 90 | INITIAL() PUBLIC() OFFERING? OR CLOSED(1W) END() FUNDS OR (GO - OR GOING) () PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR MU- TUAL(1W) STOCK() CONVER? OR (FIRST OR 1ST OR START?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES)) |
| S4 | 2654 | (QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S5 | 628053 | SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W) BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN) |
| S6 | 2609 | (UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S7 | 31 | S1 AND S2 AND S3 AND (S4 OR S5 OR S6) |
| S8 | 1 | (S1(10N) S3) AND S5 NOT S7 |
| S9 | 1 | S3 AND (S4(5N) S5) NOT (S7 OR S8) |
| S10 | 22 | S3 NOT (S7 OR S8 OR S9) AND IC=G06F-017/60 |

| Set | Items | Description |
|-----|-------|---|
| S1 | 668 | AUCTION? OR META AUCTION? OR MULTIAUCTION? OR DUTCH AUCTION? OR ((BID OR BIDD?) (5N) OFFER?) OR EBAY OR E() BAY OR REQUEST(3W-) QUOTE? OR RFQ |
| S2 | 44059 | ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE? |
| S3 | 130 | INITIAL() PUBLIC() OFFERING? OR CLOSED(1W) END() FUNDS OR (GO - OR GOING) () PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR MU- TUAL(1W) STOCK() CONVER? OR (FIRST OR 1ST OR START?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES)) |
| S4 | 82 | (QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S5 | 2441 | SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W) BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN) |
| S6 | 163 | (UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S7 | 0 | S1 AND S2 AND S3 AND (S4 OR S5 OR S6) |
| S8 | 0 | S1 AND S3 AND (S4 OR S5 OR S6) |
| S9 | 1 | S1 AND S3 |
| S10 | 5 | S3 AND (S4 OR S5 OR S6) |

File 15:ABI/Inform(R) 19 2002/Dec 13
(c) 2002 ProQuest Info&Learning
File 9:Business & Industry(R) Jul/1994-2002/Dec 12
(c) 2002 Resp. DB Svcs.
File 610:Business Wire 1999-2002/Dec 13
(c) 2002 Business Wire.
File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire
File 275:Gale Group Computer DB(TM) 1983-2002/Dec 13
(c) 2002 The Gale Group
File 476:Financial Times Fulltext 1982-2002/Dec 13
(c) 2002 Financial Times Ltd
File 624:McGraw-Hill Publications 1985-2002/Dec 13
(c) 2002 McGraw-Hill Co. Inc
File 636:Gale Group Newsletter DB(TM) 1987-2002/Dec 13
(c) 2002 The Gale Group
File 621:Gale Group New Prod.Annou.(R) 1985-2002/Dec 12
(c) 2002 The Gale Group
File 613:PR Newswire 1999-2002/Dec 13
(c) 2002 PR Newswire Association Inc
File 813:PR Newswire 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc
File 16:Gale Group PROMT(R) 1990-2002/Dec 13
(c) 2002 The Gale Group
File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group
File 634:San Jose Mercury Jun 1985-2002/Dec 12
(c) 2002 San Jose Mercury News
File 148:Gale Group Trade & Industry DB 1976-2002/Dec 12
(c)2002 The Gale Group
File 20:Dialog Global Reporter 1997-2002/Dec 13
(c) 2002 The Dialog Corp.
File 625:American Banker Publications 1981-2002/Dec 13
(c) 2002 American Banker
File 268:Banking Info Source 1981-2002/Dec W2
(c) 2002 ProQuest Info&Learning
File 626:Bond Buyer Full Text 1981-2002/Dec 13
(c) 2002 Bond Buyer
File 267:Finance & Banking Newsletters 2002/Dec 09
(c) 2002 The Dialog Corp.

| Set | Items | Description |
|-----|--------|---|
| S1 | 155009 | (AUCTION? OR META AUCTION? OR MULTIAUCTION? OR DUTCH AUCTION? OR BID OR BIDD?) (3N) (ONLINE OR INTERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?) OR EBAY OR E()B-A Y |
| S2 | 488806 | INITIAL() PUBLIC() OFFERING? OR CLOSED(1W) END() FUNDS OR (GO - OR GOING) () PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR (FIRST OR 1ST OR INITIAL?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? - OR EQUITY OR EQUITIES)) |
| S3 | 403738 | (RESTRICT? OR RESTRAIN OR RESTRAINING OR BARRIER? OR LIMIT? OR CONTINGENT? OR CONTROLLED OR CONDITIONAL? OR EXCLUSIV?) (2-N) (USE OR USING OR USAGE OR USABILITY OR ACCESS? OR ENTRANCE - OR ENTRY OR UTILIZ?) |
| S4 | 457620 | (QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERMITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LARGE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S5 | 2843 | S4 (5N) (SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR FILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W) BETWEEN OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN)) |
| S6 | 5 | S1 AND S2 AND S5 |
| S7 | 176 | S1 AND S2 AND S3 NOT S6 |
| S8 | 28 | ((S1(S)S2) AND S3) NOT S6 |
| S9 | 9 | S8 NOT PY>1999 |

| | | |
|-----|--------|--|
| S11 | 1 | S1 AND S2 .ND (S3(S)S4) NOT (S6 OR S8) |
| S12 | 29 | (S1 AND S2 AND S3 AND S4) NOT (S6 OR S8 OR S11) |
| S13 | 7 | S12 NOT PY>1999 |
| S14 | 234415 | (UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?) |
| S15 | 713 | S14(5N) (SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (ST- AND OR FILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W) - BETWEEN OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN)) |
| S16 | 0 | S1 AND S2 AND S15 NOT (S6 OR S8 OR S11 OR S12) |
| S17 | 14 | S2 AND S15 NOT (S6 OR S8 OR S11 OR S12) |
| S18 | 10 | S17 NOT PY>1999 |
| S19 | 9 | RD (unique items) |